

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2782
Scott D. Smyers <i>et al.</i>)	Examiner:
Serial No.: 09/608,617)	<u>CERTIFICATION FOR</u>
Filed: June 30, 2000)	<u>SUPPLEMENTAL INFORMATION</u>
)	<u>DISCLOSURE STATEMENT UNDER 37</u>
)	<u>CFR § 1.97(e)</u>
For: METHOD OF AND APPARATUS)	
FOR RECORDING TIME)	162 N. Wolfe Road
SENSITIVE DATA WITHIN A)	Sunnyvale, CA 94086
STORAGE DEVICE AND)	(408) 530-9700
RESYNCHRONIZING THE DATA)	
WHEN TRANSMITTING)	
RECORDED DATA FROM THE)	
STORAGE DEVICE IN ORDER TO)	
REGAIN TIME SYNCHRONY)	
AFTER A LAPSE IN SYNCHRONY)	
OR ERROR CONDITION)	

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 28, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 5, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

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CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 2 -

HAVERSTOCK & OWENS LLP.

Date: 3/5/03 By: Sharon M. P. [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott D. Smyers *et al.*

Serial No.: 09/608,617

Filed: June 30, 2000

For: **METHOD OF AND APPARATUS
FOR RECORDING TIME
SENSITIVE DATA WITHIN A
STORAGE DEVICE AND
RESYNCHRONIZING THE DATA
WHEN TRANSMITTING
RECORDED DATA FROM THE
STORAGE DEVICE IN ORDER TO
REGAIN TIME SYNCHRONY
AFTER A LAPSE IN SYNCHRONY
OR ERROR CONDITION**

) Group Art Unit: 2782

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citation listed below, a copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,761,652;
- U.S. Patent No. 5,790,852;
- U.S. Patent No. 5,832,297;
- U.S. Patent No. 6,044,418;
- U.S. Patent No. 6,223,251 B1;
- U.S. Patent No. 6,266,751 B1;

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PATENT
Attorney Docket No.: SONY-12100

- U.S. Patent No. 6,339,818 B1;
- U.S. Patent No. 6,385,672 B1; and
- U.S. Patent No. 6,480,936 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 5, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP

Date: 3/5/03 By: Sharon M. McCormick

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SONY-12100	Serial No.: 09/608,617	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Scott D. Smyers et al.		
(37 CFR § 1.98(b))				Filing Date: June 30, 2000	Group Art Unit: 2782	
U.S. PATENT DOCUMENTS						
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	5,761,652	06/02/98	Wu et al.	707	2	03/20/96
AB	5,790,852	08/04/98	Salm	395	674	08/27/91
AC	5,832,297	11/03/98	Ramagopal et al.	395	825	11/12/97
AD	6,044,418	03/28/00	Muller	710	56	06/30/97
AE	6,223,251 B1	04/24/01	Nemoto	711	114	03/25/98
AF	6,266,751 B1	07/24/01	Niescier	711	173	08/27/98
AG	6,339,818 B1	01/15/02	Olszewski et al.	711	173	06/24/99
AH	6,385,672 B1	05/07/02	Wang et al.	710	56	06/20/97
AI	6,480,936 B1	11/12/02	Ban et al.	711	118	11/20/98
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Examiner:				Date Considered:		
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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